



AMENDMENT TRANSMITTAL LETTER			DOCKET NUMBER: P-LJ 4494	
SERIAL NO: 09/757,041	FILING DATE: Jan. 9, 2001	EXAMINER: Unknown	GROUP ART UNIT: 1645	
INVENTION: CD40 ASSOCIATED PROTEINS				

TO COMMISSIONER FOR PATENTS

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231 on May 23, 2001.

By: Robert T. Ramos  
Robert T. Ramos, Reg. No. 37,915

May 23, 2001  
Date of Signature

BEST AVAILABLE COPY

Transmitted herewith is **PRELIMINARY AMENDMENT IN RESPONSE TO THE NOTICE OF OMITTED ITEMS IN A NONPROVISIONAL APPLICATION** in the above-identified application.

- \_\_\_ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- \_\_\_ Information Disclosure Statement; Form 1449 with 41 references
- \_\_\_ Petition for Extension of Time is enclosed (in duplicate).
- X No additional claims fee is required.
- \_\_\_ An additional claims fee is required and has been calculated as shown below:

CLAIMS AS AMENDED

	NUMBER AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		NUMBER OF EXTRA CLAIMS PRESENTED		RATE			FEE	
							SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	22	-	22	-	0	x	\$9	\$18	=	\$ 00	\$
INDEPENDENT CLAIMS	2	-	2	-	0	x	\$40	\$80	=	\$ 00	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			___ YES		___ X ___ NO		\$135	\$270	=	\$ 00	\$
							TOTAL ADDITIONAL FEE			\$ 00	\$

\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.

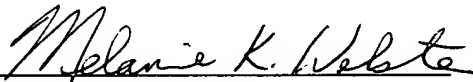
\*\* If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.

\*\*\* If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 0, write "0" in the space.

Inventors: Reed and Sato  
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- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Melanie K. Webster  
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PATENT  
Our Docket: P-LJ 4494

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Reed and Sato ) Group Art Unit: 1645  
Serial No.: 09/757,041 ) Examiner: Unknown  
Filed: January 9, 2001 ) I hereby certify that this correspondence  
For: CD40 ASSOCIATED ) is being deposited with the United States  
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envelope addressed to: Commissioner for  
Patents, Washington, D.C., 20231 on  
May 23, 2001  
By: [Signature]  
Robert T. Ramos, Reg. No. 37,915  
May 23, 2001  
Date of Signature

Commissioner for Patents  
Washington, D.C. 20231

Sir:

PRELIMINARY AMENDMENT IN RESPONSE  
TO THE NOTICE OF OMITTED ITEMS IN A  
NONPROVISIONAL APPLICATION

The Applicants request entry of the following  
Preliminary Amendment in response to the Notice of Omitted Items  
in a Nonprovisional Application dated April 3, 2001.

**I. AMENDMENT**

Please amend the specification as follows:

Please delete the paragraph beginning at page 4, line  
6, and replace with:

a' --Figures 1A, 1B, and 1C list the complete nucleotide  
sequence (SEQ ID NO:2) and the deduced amino acid sequence (SEQ  
ID NO:1) of CAP-1. Single letter amino acid symbols are used.--